

WTAMU Compliance

Division of Research and Compliance Annual Budget Hearings – 2015

WTAMU Compliance

Mission Statement: The WTAMU Compliance Office is dedicated to promoting the highest standards of ethics and compliance in partnerships with the operational areas throughout the WTAMU campus.

Key Performance Indicators:

Metric: Implementation of 16.01 System Ethics and Compliance Program and 16.01.01 System Ethics and Compliance	FY 2015	FY 2014	FY 2013
Annual approved WTAMU Compliance Plan by TAMUS Ethics and Compliance Officer (SECO) and WTAMU President	Annual Compliance Plan Developed, Submitted and approved	Annual Compliance Plan Developed, Submitted and approved	Annual Compliance Plan Developed, Submitted and approved
Quarterly approved WTAMU Compliance Plan Updates by TAMUS Ethics and Compliance Officer (SECO) and WTAMU President	Quarterly Compliance Plan Developed, Submitted and Approved (Pending Annual Cycle: March; June; September, December)	Quarterly Compliance Plan Developed, Submitted and Approved – Completed	Quarterly Compliance Plan Developed, Submitted and Approved- Completed

Budget Requests for FY16:

Current levels of funding are adequate to meet mission critical needs with the Compliance Office.

WTAMU Environmental Health and Safety

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Environmental Health and Safety (EHS)

Mission Statement: WTAMU Environmental Health and Safety (EHS) is a multifaceted campus support unit that includes Academic and Research Environmental Health and Safety; Fire and Life Environmental Health and Safety, and General Environmental Health and Safety. The mission of the EHS program is to provide support, in partnership with students, faculty, staff, administration and campus visitors, to all environmental health and safety components of the University's mission to ensure compliance with all applicable federal, state, Texas A&M University System, and West Texas A&M University policies, regulations, rules, and standards.

Fire and Life Environmental Health and Safety (FLEHS)

Key Performance Indicators:

1. Fire Code Life Safety Inspections of 30+ campus buildings
2. Investigation of all fire alarms and trouble alarms in 27 campus buildings.
3. Inspections and Testing of Building Safety Systems
4. Inspection and Testing of Campus Fire Hydrants
5. Safety Training
6. Fire Drills
7. Accident/Incident Report Review (Faculty, Staff, Students and Visitors)

Metric: Fire Code Life Safety Inspections of 30+ campus buildings	FY 2015 (as of 2/28/15)	FY 2014	FY 2013
Number of inspections completed	13	52	51
Number of Follow-up completed	13	22	34
Number of safety deficiencies Explain types of deficiencies:	14	163	96

Metric: Investigation of all fire alarms and trouble alarms in 27 campus buildings	FY 2015 (as of 2/28/15)	FY 2014	FY 2013
Number of alarms recorded	128	194	246
Number of Follow-up monitored for repairs	34	20	6

Metric: Inspections and Testing of Building Safety Systems	FY 2015	FY 2014	FY 2013
Number of inspections completed	312	677	636
Number of Follow-up monitored for repairs	260	625	584
Number of deficiencies found	638	437	6
Number of deficiencies corrected	595	437	6

Metric: Inspection and Testing of Campus Fire Hydrants	FY 2015	FY 2014	FY 2013
Number of hydrants inspected	30	60	60
Number of Follow-up monitored for repairs	0	1	0
Number of deficiencies Explain types of deficiencies:	6 Difficult to turn	1 Replaced Hydrant	0

Metric: Fire Safety Training	FY 2015	FY 2014	FY 2013
Number of trainings conducted	5	5	15
Number of attendees	304	221	334
List the trainings that were conducted:	(See annex A)	(See annex A)	(See annex A)

Metric: Fire Drills	FY 2015	FY 2014	FY 2013
Number of drills completed	37	36	40
List evacuation times on drills completed	3.4 minutes	3.4 minutes	3.7 minutes
Number of Follow-up monitored for repairs	4	0	0

Metric: Accident/Incident Report Review (Faculty, Staff, Students and Visitors)	FY 2015	FY 2014	FY 2013
Number of reports reviewed	4	26	59

Academic Research Environmental Health and Safety (AREHS)

Key Performance Indicators:

1. Research Compliance in Human Subjects Research
2. Research Compliance in Animal Research
3. Research Compliance with Biological Research
4. Laboratory Safety and Compliance
5. Chemical Inventory
6. Export Control Compliance

Metric: Research Compliance in Human Subjects Research (IRB)	FY 2015 (as of 2/28/15)	FY 2014	FY 2013
Number of proposals submitted	81	107	90
Number of Full Board Reviews	6	9	7
Number of Non Compliance Reviews and results	1 - Pending	0	1- Non-serious

Metric: Research Compliance in Animal Research (IACUC)	FY 2015 (as of 2/28/15)	FY 2014	FY 2013
Number of proposals submitted	13	24	21
Number of Non Compliance Reviews and results	0	1 – No issues were found	0
Findings of USDA inspections	No items identified during annual inspection	No items identified during annual inspection	No items identified during annual inspection

Metric: Research Compliance with Biological Research (IBC)	FY 2015 (as of 2/28/15)	FY 2014	FY 2013
Number of proposals submitted	1	1	2
Number of Biosafety laboratories and category	2-BSL2	2 – BSL2	2 – BSL2
Number of Non Compliance Reviews and results	0	0	0
Findings of biosafety laboratory inspections	No items identified during the annual inspection	2 items identified during the annual inspection – Properly clean Biosafety cabinet and purchase a biological waste container	No items identified during the annual inspection

Metric: Laboratory Safety and Compliance	FY 2015 (as of 2/28/15)	FY 2014	FY 2013
Number of formal laboratory inspections completed	51	104	104
Number of informal laboratory inspections completed	15	88	Did not conduct informal lab inspections (implemented in 2014)
Number of spot inspections completed	67	77	Did not conduct spot lab inspections (implemented in 2014)
Follow-up monitoring of labs for outstanding issues	Formal deficiencies – 81 Spot – 50 Informal – 22 10 outstanding issues are pending	All outstanding deficiencies were resolved.	All outstanding deficiencies were resolved.
Number of laboratory incidents and type	4 total: 1 – Equine 1- Cut due to glass break 1- Eye Injury MMNH -Theatre 1- Medical illness at glass blowing with many supplements	7 total: 1- Ag Ed pipe injury 2- Animal injury 1- Cut from meat lab 1- cut at glass blowing 2- Needle stick	2 total: 1-Feedlot fire with hay grinder 1-Burn from ceramics
Number of student safety training courses and type	4 safety courses – General, Art, Theatre, & Ag	2 safety courses – General & Art	1 safety course - General
Number of students trained for student safety training	2780	3280	3443

Metric: Chemical Inventory	FY 2015 (as of 2/28/15)	FY 2014	FY 2013
Number of chemicals in WTAMU chemical inventory. Types of chemicals are listed below: Acids, Bases, oxidizers, flammables, toxic, organic, inorganic, radioactive, and corrosives	2383	4384	3160
Number of expired chemical tracking and removal		2001	0

Metric: Export Control Compliance	FY 2015 (as of 2/28/15)	FY 2014	FY 2013
Number of export control technology plans	3 pending	0	0
Number of export control licensing requests and issuances	0	0	0

General Safety Environmental Health and Safety (GSEHS)

Key Performance Indicators:

1. Promotes general safety practices

Metric: Promotes general safety practices To be implemented Summer 2015	FY 2015 (as of 2/28/15)	FY 2014	FY 2013
Number of general office inspections	0	0	0
Number of Follow-up monitoring and types of general safety incidents	0	0	0

Budget Requests for FY16:

1. Title of Request: Fire alarm and sprinkler annual testing and monitoring
Dollar Amount Requested: **\$5,000.00**

To which imperative, goal, and strategy of the WTAMU Five-Year Plan 2014-2018 is this request in support of?

Goal 4.5: Provide an effective and reliable campus emergency notification system by which university officials can communicate health, safety, and emergency information quickly.

Is this request consistent with the University Five Year Plan 2014-18 Financial Plan? **Yes.**

How is this request anticipated to impact a unit KPI referenced above?

The \$5000 requested is needed to support additional monitoring and annual inspections for new fire alarm systems added to three campus buildings during FY 2014-2015: Panhandle Plains Historical Museum, The Box, and the East Dining Hall. The monitoring and annual inspection functions are conducted by third party companies that inspect and monitor all of the existing fire alarm panels on campus. Each panel costs \$35.00 per month to monitor for a total of \$1260.00. The three alarm systems are expected to cost \$3740.00 for recertification and inspection due to the size and complexity of each new system.

WTAMU Ronald McNair Scholars Program

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Unit Name: Ronald McNair Scholars Program

Unit Mission Statement: Through a grant competition, funds are awarded to institutions of higher education to prepare eligible participants for doctoral studies through involvement in research and other scholarly activities. Participants are from disadvantaged backgrounds and have demonstrated strong academic potential. Institutions work closely with participants as they complete their undergraduate requirements. Institutions encourage participants to enroll in graduate programs and then track their progress through to the successful completion of advanced degrees. The goal is to increase the attainment of Ph.D. degrees by students from underrepresented segments of society. Grants are funded by the U.S. Department of Education.

Unit Key Performance Indicators (data for last three years):

1. McNair participants will complete undergraduate programs at higher rates than comparable non-participants; (98% of participants have received or are currently enrolled in undergraduate degree programs at WTAMU)
2. McNair participants will enroll in programs of study at the graduate level at higher rates than comparable non- participants; (72% of participants entered graduate school vs approximately 30% on non-participant population)
3. McNair participants will earn doctorate degrees in various disciplines, includes the fields of mathematics and science, at higher rates than comparable non-participants; (Currently, 54 students have earned a Master's degree and 13 have earned either a Ph.D. or professional Doctorate. Six students are currently enrolled in a Ph.D. program, two are enrolled in professional programs, and ten in Masters' programs. Twenty-nine Master degrees have been earned at WTAMU)

The above KPI address the Performance Indicators for the McNair program as set forth in the Government Performance and Results Act of 1993 (GPRA) and connect to the WTAMU Strategic Plan Imperative 2.

Budget Requests for FY16:

No University funding is requested. The Ronald McNair Scholars program is funded in five-year grant cycles at approximately \$231,448 per year. The program receives 100% of funding from the U.S. Department of Education, TRIO program division.

WTAMU Research & Sponsored Research

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Research & Sponsored Research

Mission Statement: WTAMU Research administration is a multifaceted campus support unit that includes two components: The Office of Research and the Sponsored Research Services office. The mission of Research is to lead in the development of research-related procedures; provide leadership and support for research innovation and commercialization (including patents); provide pre and post award sponsored research/grant award services and other legal contract compliance; and administer internally funded grant programs.

Key Performance Indicators:

1. Participation and Funding for WTAMU Faculty and Staff
2. Promoting/Increasing Externally Funded Sponsored Projects
3. Promoting Faculty and Student Research

1. Participation and Funding for WTAMU Faculty and Staff

Metric	FY 2015 (as of 2/28/15)	FY 2014 (as of 2/28/14)	FY 2014 TOTALS	FY 2013 (as of 2/28/13)	FY 2013 TOTALS
Faculty and staff proposal submissions to external sponsors	28	35	80	26	60
Total externally requested dollars	\$6,141,273	\$6,377,784	\$16,218,688	\$6,913,561	\$10,086,496
External funding success rate	39%	20%	43%	19%	55%
First time PI/Co-PI/Program Director participation	8	12	20	7	14

Metric	FY 2015 (as of 2/28/15)	FY 2014 TOTALS	FY 2013 TOTALS
Total externally funded sponsored project expenditures	\$1,518,541	\$2,950,450	\$2,915,299
Total research expenditures	\$3,167,943	\$5,026,012	\$5,159,374
Total restricted research expenditures reported to THECB	\$1,289,489	\$2,058,275	\$2,173,475

2. Promoting/Increasing Externally Funded Sponsored Projects

Metric	FY 2015 (as of 2/28/15)	FY 2014 TOTALS	FY 2013 TOTALS
Sponsored project workshops/training events offered	6	5	7
Participation in workshops/training events	54	58	111

3. Promoting Faculty and Student Research

Metric	FY 2015	FY 2014	FY 2013
Proposals submitted for Killgore Faculty Research Program	16	13	5
Proposals submitted for Graduate Student Research Program	13	14	12
Proposals submitted for President's Undergraduate Summer Research Program	8	9	13
Total funding for Killgore Faculty Research Program	\$33,048	\$45,037	\$19,967
Total funding for Graduate Student Research Program	\$41,208	\$46,045	\$39,638
Total funding for President's Undergraduate Summer Research Program	\$26,372	\$27,621	\$37,909
Percentage of faculty engaged in externally sponsored research	15%	15%	13%
Percentage of faculty engaged in externally sponsored projects (<i>these are projects not classified as research but receive external funding</i>)	9%	11%	13%
Percentage of faculty participating in internally funded research	4%	5%	3%
Persistence rate for students participating in internal research vs. general student population	Fall 2015 data not available for research students yet Student population data not available ²	100% research ¹ Student population data not available ²	100% research ¹ 66.7% student population
Tenured/tenured tracked faculty reporting to be actively engaged in research	Data not yet available for this fiscal year	97.3%	97.3%
Research invention disclosures submitted to TAMUS Technology Commercialization	1	1	0
Patents applied for/issued	0	1	0

1. Students participating in internal research are comprised of freshmen through seniors.

2. Institutional research bases student population retention on the new freshmen cohort that enters each fall and whether they come back the following fall which is why there is no data for FY14 and FY15.

Budget Requests for FY16:

Current levels of funding are adequate to meet mission critical needs with Research and Sponsored Research.